

Receipt

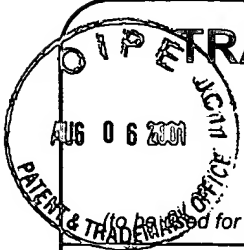
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# PRIORITY TRANSMITTAL FORM

Application Number	09/837,911
Filing Date	April 18, 2001
First Named Inventor	WANG, Hui
Group Art Unit	1741
Examiner Name	To Be Assigned
Attorney Docket No.	495152000111

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Use for all correspondence after initial filing)

Total Number Of Pages In This Submission

XX

## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declarations	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	Request for Corrected Filing Receipt (2 pages):
		Marked up copy of Filing Receipt (4 pages)
		Copy of Express Mail Receipt (1 page)
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<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	
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<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
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## SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm or Individual Name	Morrison & Foerster LLP 425 Market Street San Francisco, CA 94105 Peter J. Yim (Reg. No. 44,417)
Signature	A. Randall Camacho Reg. No. 46,595 for Peter J. Yim
Date	August 1, 2001

## CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on August 1, 2001.

Vicki L. Henry

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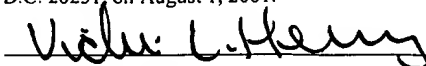
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PATENT  
Docket No. 495152000111

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

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Vicki L. Henry

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Hui WANG

Serial No.: 09/837,911

Filing Date: April 18, 2001

For: PLATING APPARATUS AND  
METHOD

Examiner: To Be Assigned

Group Art Unit: 1741

REQUEST FOR A CORRECTED FILING RECEIPT

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

Dear Sir:

Please furnish the undersigned with a corrected Filing Receipt for the above-identified application. The corrections needed are as follows:

1. Please change the filing date from "04/16/2001" to --04/18/2001--.

Attached are the following documents in support of the above date correction request:

- a. A copy of the marked-up Filing Receipt (4 pages);
- b. A copy of the Express Mail label showing the "USPS Stamp" of

04/18/2001 (1 page). and

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c. A copy of the transmittal entitled "New Patent Application Transmittal", which has a Certificate of Mailing by "Express Mail" dated April 18, 2001 and links the Express Mail label to the above-identified application (3 pages).

2. Change the Docket No. from "ACMR-001/03US" to --495152000111--.
3. Change the contact address from "Cooley Godward LLP, Attn: Patent Group, Five Palo Alto Square, 3000 E. Camino Real, Palo Alto, CA 94306-2155" to --Morrison & Foerster, LLP, Attn: Patent Dept., 425 Market Street, San Francisco, CA 94105-2482--.

In the unlikely event that the transmittal letter is separated from this request and the Patent Office determines that a fee is required, applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No. 03-1952 referencing Attorney Docket No. 495152000111.

Respectfully submitted,

Dated: August 1, 2001, 2001

By: A. Randall Camacho Reg. No. 46,595  
for Peter J. Yim  
Registration No. 44,417

Morrison & Foerster LLP  
425 Market Street  
San Francisco, California 94105-2482  
Telephone: (415) 268-6373  
Facsimile: (415) 268-7522

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
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09/837,911

~~04/16/2001~~

1741

355

~~ACMR~~  
~~001/0306~~

65

9

2

04/18/2001

49515200011

CONFIRMATION NO. 9922

## FILING RECEIPT



\*OC000000006197203\*

Cooley Godward LLP  
Attn: Patent Group  
Five Palo Alto Square  
3000 El Camino Real  
Palo Alto, CA 94306-2155

Morrison & Foerster LLP  
Attn: Patent Dept.  
425 Market St.  
San Francisco, CA 94105-2482

Date Mailed: 06/18/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Hui Wang, Fremont, CA;

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A DIV OF 09/232,864 01/15/1999  
WHICH CLAIMS BENEFIT OF 60/094,215 07/27/1998  
AND CLAIMS BENEFIT OF 60/074,466 02/12/1998

## Foreign Applications

If Required, Foreign Filing License Granted 06/14/2001

Projected Publication Date: 09/27/2001

Non-Publication Request: No

Early Publication Request: No

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Title

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Plating apparatus and method

Preliminary Class  
205

Data entry by : PRASASOUK, NOUSALY  
Team : OIPE  
Date: 06/18/2001



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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
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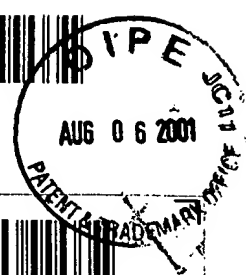
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Date In Mo. <u>4</u> Day <u>7</u> Year <u>2001</u>	<input type="checkbox"/> 12 Noon <input checked="" type="checkbox"/> 5 PM	Postage \$ <u>16.45</u>
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☒ REGULAR PROVISIONAL APPLICATION:  
61 PPS DESCRIPTION  
14 PPS CLAIMS  
1 PPS ABSTRACT  
☒ DRAWINGS: 65 SHEETS OF 1 / FORMAL  
☒ EXECUTED / UNEXECUTED DECLARATION / POA (COPY)  
☒ POWER OF ATTORNEY BY ASSIGNEE (COPY)  
☒ RECORDATION FORM COVER SHEET  
☒ ASSIGNMENT  
☒ VERIFIED SMALL ENTITY STATEMENT (COPY)  
☐ REQUEST FOR REFUND  
☒ RESPONSE / AMENDMENT (PRELIMINARY)  
☐ RESPONSE TO NOTICE TO FILE MISSING PARTS  
☐ NOTICE TO FILE MISSING PARTS

SERIAL NO.:

ATTORNEY DOCKET NO.: ACMR-001103US

MAIL DATE: 18 APR 2001

DUE DATE:

ATTORNEY/SECRETARY: WSG:c2l

BOX: PATENT APPLICATION

☒ INFORMATION DISCLOSURE STATEMENT

☒ FORM PTO-1449 PTO-SB108A

☐ CITED REFERENCES

☐ SEQUENCE LISTING - HARD COPY

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☐ NOTICE OF APPEAL

☐ APPEAL BRIEF

☐ ISSUE FEE TRANSMITTAL

☒ TRANSMITTAL

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Date: 18 April 2001

By: Vladimir Skliba  
Vladimir Skliba

Box Patent Application  
Commissioner for Patents  
Washington, D.C. 20231

## NEW PATENT APPLICATION TRANSMITTAL

1. Transmitted herewith for filing is a utility patent application:
  - a. title: PLATING APPARATUS AND METHOD
  - b. inventors: Hui WANG
2. This application is a ☒ divisional application ☐ continuation application ☐ continuation-in-part application of U.S. Application Serial No. 09/232,864, filed January 15, 1999, which claims benefit of U.S. Provisional Application Serial No. 60/094,215, filed July 27, 1998, and U.S. Provisional Application Serial No. 60/074,466, filed February 12, 1998.
3. Enclosed are:
  - a. ☐ sheets of informal drawings.
  - b. ☒ 65 sheets of formal drawings.
  - c. ☒ Copy of executed Declaration filed in parent case USSN 09/232,864.
  - d. ☒ Copy of executed Revocation and New Power to Prosecute by Assignee and Statement Under 37 CFR §3.73(b).
  - e. ☐ Unexecuted Declaration and Power of Attorney.

- f. ☒ Copy of Verified Statement to establish small entity status under 37 CFR §1.9 and 37 CFR §1.27 filed in parent case USSN 09/232,864.
- g. ☐ Certified copy of application number(s) filed .
- h. ☒ Information Disclosure Statement Transmittal, Information Disclosure Statement Under 37 CFR §1.97(b), and Form PTO/SB/08.
- i. ☒ Preliminary Amendment.
- j. ☒ Assignment and Recordation Form Cover Sheet.
- k. ☐ Declaration of Availability under MPEP 2404.
- l. ☐ Associate Power of Attorney.
- m. ☒ Postcard.

4. The fees has been calculated as shown below:

CLAIMS AFTER ENTRY OF ANY AMENDMENTS, LESS ANY CANCELLED CLAIMS

FOR	Claims Filed			Extra Claims	Small Entity Rate Fee		Other Than a Small Entity Rate Fee		Total Filing Fee
Basic Fee					\$355		\$710		\$355.00
Total Claims	9	-20=	0	\$9		\$18		\$0.00	
Independent Claims	2	-3=	0	\$40		\$80		\$0.00	
Multiple Dependent Claims Presented					\$135		\$270		\$0.00
TOTAL									\$355.00

<sup>1</sup> If difference is negative, enter "0".

☐ Petition for Expedited Foreign Filing License (\$130.00) .....\$0.00

☒ Other fees (list individually)

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**TOTAL FEES: .....\$395.00**

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☐ Please charge Deposit Account No. 03-3117 in the amount of \$0.00.

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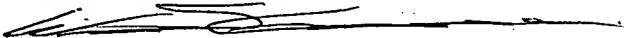
5. An extension of time is requested to provide for timely filing if an extension of time is still required after all papers filed with this transmittal have been considered.

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☒ Any national application filing fees under 37 CFR 1.16.

☒ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,  
COOLEY GODWARD LLP



By:

\_\_\_\_\_  
William S. Galliani  
Reg. No. 33,885

Cooley Godward LLP  
Attn: Patent Group  
Five Palo Alto Square  
3000 El Camino Real  
Palo Alto, CA 94306-2155  
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